

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
RM4497

In Re Application Of: Mattson et al.

Serial No.

Filing Date

Examiner

Group Art Unit

10/797,252 3-10-2004

FIG. 1
SUCTION FILTER SANITATION DEVICE

OCT 19 2004

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

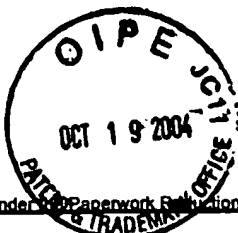
☐ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

7104REV02

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PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

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of

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Complete if Known

Application Number	10/297,252
Filing Date	3-10-2004
First Named Inventor	ROY W. MATTHEW JR.
Art Unit	
Examiner Name	
Attorney Docket Number	RM 449E

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-5,409,608	4-25-1995	YOSHIDA ET AL	
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Application Number

10/797,252

Filing Date

3-10-2004

First Named Inventor

Douglas Matheson Jr.

Art Unit

Examiner Name

Attorney Docket Number

DM 1002 449F

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Application Number

10/292,252

Filing Date

3-10-2004

First Named Inventor

R. J. Mattson

Art Unit

Examiner Name

Attorney Docket Number

RM 1-2449F

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Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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		US- 4385989	May 1983	Marshall	
		US- 4416854	Nov 1983	Nelson	
		US- 4420463	Dec 1983	Podicus et al	
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Complete if Known

Application Number

10/292,252

Filing Date

3-10-2004

First Named Inventor

Roy M. P. 30

Art Unit

Examiner Name

Attorney Docket Number

DM1-449E

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Sheet

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Application Number	10/797,252
Filing Date	3-10-2004
First Named Inventor	Roy Maffei
Art Unit	
Examiner Name	
Attorney Docket Number	RM1002

U. S. PATENT DOCUMENTS

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		US-6,214,217	4-10-2001	SLIGEN JR.	
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First Named Inventor

Ray on Att. Sec.

Art Unit

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Attorney Docket Number

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**Examiner
Signature**

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Application Number

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First Named Inventor

Der Name

Art Unit

Examiner Name

Attorney Docket Number

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		US- 5,932,093	8-03-1999	Chulick	
		US- 6,019,893	2-01-2000	Denkewicz Jr Etal	
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[illegible]

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Application Number	101797,252
Filing Date	3-10-2004
First Named Inventor	ROG M. P. B. J.
Art Unit	
Examiner Name	
Attorney Docket Number	DM 1-449F

Sheet

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of

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Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-4,637,873	1-20-1987	DESOUSA ET AL	
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		07-080218	1995	JAPAN		
		09-201300	1997	JAPAN		
		10-192847	1998	JAPAN		
		11-267414	1999	JAPAN		

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13

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Filing Date

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First Named Inventor

Roy Maffeo

Art Unit

Examiner Name

Attorney Docket Number

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		US- 4,533,476	8-6-1985	WATKINS	
		US- 4,552,658	11/12/1985	AND COCK ET AL	
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		10-192847	1998	JAPAN		
		7-80218	1995	JAPAN		
		4-214150	1992	JAPAN		
		04-214150	1992	JAPAN		

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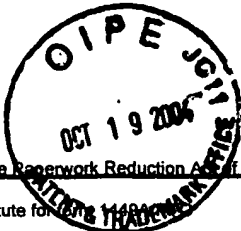
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First Named Inventor

Roy Mattson

Art Unit

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P.M.H.H.F.

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		US- 6,199,224	3-13-2001	VERSLAND	
		US- 6,238,575	5-29-2001	PATIL	
		US- 6,279,177	8-28-2001	GLODFT	
		US- 6,283,308	9-4-2001	PATIL ET AL	
		US- 6,289,530	9-18-2001	MILLER ET AL	
		US- 5,919,584	7-6-1999	MATTSON ET AL	
		US- 6,317,903	11-20-2001	BRUNELLE ET AL	
		US- 6,357,060	3-19-2002	GLODFT	
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		US- 10,459,645		CRAIG SLOVER	
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		US- 6,238,575	5-29-2001	PATIL	
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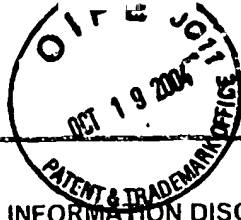
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SERIAL NO.
10/624,432

Mattson et al.

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2681

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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<i>PV</i>	4,971,687	11/20/1990	Anderson			
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<i>PV</i>	5,236,581	08/17/1993	Perry			
<i>PV</i>	5,277,802	01/11/1994	Goodwin			
<i>PV</i>	5,328,602	07/12/1994	Brooks			
<i>PV</i>	5,347,664	09/20/1994	Hamza et al.			
<i>PV</i>	5,383,239	01/24/1995	Mathis et al.			
<i>PV</i>	5,656,159	08/12/1997	Spencer et al.			

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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
<i>PV</i>	07-080218	1995	Japan			✓	
<i>PV</i>	09-201300	1997	Japan			✓	
<i>PV</i>	10-192847	1998	Japan			✓	
<i>PV</i>	11-267414	1999	Japan			✓	

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PJ	US 2001/0003217 A1	06/14/2001	Sorensen			
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	2,329,987	09/21/1943	Goodloe			
	3,263,811	08/02/1966	Baker et al.			
	4,233,694	11/18/1980	Janosko et al.			
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	4,349,434	09/14/1982	Jaworski			
	4,359,790	11/23/1982	Chalberg			
	4,426,286	01/17/1984	Puckett et al.			
	4,533,476	08/06/1985	Watkins			
PV	4,552,658	11/12/1985	Adcock et al.			

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						YES	NO
	11-267414	1999	Japan				✓
	10-192847	1998	Japan				✓
	7-80218	1995	Japan				✓
	4-214150	1992	Japan				✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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Notice of References Cited	Application/Control No. 10/624,432	Applicant(s)/Patent Under Reexamination MATTSON ET AL	
	Examiner Peter T deVore	Art Unit 3751	Page 1 of 1

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	A	US-6,760,931	07-2004	Mattson et al.	4/541 1
	B	US-			
	C	US-			
	D	US-			
	E	US-			
	F	US-			
	G	US-			
	H	US-			
	I	US-			
	J	US-			
	K	US-			
	L	US-			
	M	US-			

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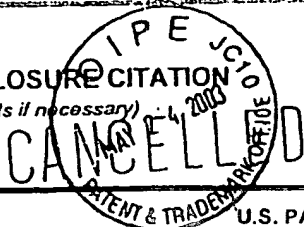
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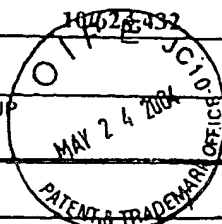
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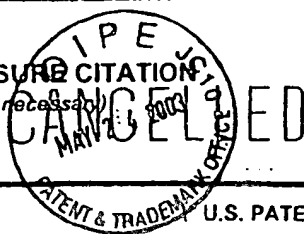
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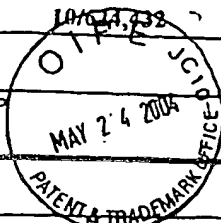
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	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
PJ	11-267414	1999	Japan				✓
	10-192847	1998	Japan				✓
	7-80218	1995	Japan				✓
	4-214150	1992	Japan				✓
PV	04-214150	1992	Japan			✓	

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R. M. F. M.

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PJ	5,762,797	06/09/1998	Patrick et al.			
	5,779,913	07/14/1998	Denkewicz, Jr. et al.			
	5,799,339	09/01/1998	Perry et al.			
	5,810,999	09/22/1998	Bachand et al.			
	5,857,594	01/12/1999	Ozturk			
	5,868,933	02/09/1999	Patrick et al.			
	5,928,510	07/27/1999	Meredith			
	5,932,093	08/03/1999	Chulick			
	6,019,893	02/01/2000	Denkewicz, Jr. et al.			
	6,038,712	03/21/2000	Chalberg et al.			
PJ	6,065,161	05/23/2000	Matcina et al.			

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Patricia J. Smith

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	6,066,253	05/23/2000	Idland et al.			
	6,138,703	10/31/2000	Ferguson et al.			
	6,165,358	12/26/2000	Denkewicz, Jr. et al.			
	6,170,095	01/09/2001	Zars			
	6,171,496	01/09/2001	Patil			
	6,269,493	08/07/2001	Sorensen			
	6,282,370	08/28/2001	Cline et al.			
	6,283,308	09/04/2001	Patil et al.			
	6,298,871	10/09/2001	Pickens et al.			
	6,331,432	12/18/2001	Bautista et al.			
	6,340,431	01/22/2002	Khan			

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OP/E	6,357,060	03/19/2002	Gloodt			
	6,390,340	05/21/2002	Lynch, Sr.			
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PJ	6,444,129	09/03/2002	Collins			

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		3,426,901	02/11/1969	D. Sherper			
		3,615,244	10/26/1971	A. Long et al.			
		4,584,106	04/22/1986	Held			
		4,780,197	10/25/1988	Schuman			
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		5,441,711	08/15/1995	Drewery			
		5,810,043	09/22/1998	Grenier			
		5,862,545	01/26/1999	Mathis et al.			
		5,888,386	03/30/1999	Enright et al.			
PJ		5,980,761	11/09/1999	Boissie et al.			

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C/E SEP 25 2003 VT & TRADE OFFICE OF PATENT & TRADEMARK		5,980,761	11/09/1999	Boissie et al.			
		6,162,401	12/19/2000	Callaghan			
		6,182,681	02/06/2001	Robertson et al.			
		6,214,217	04/10/2001	Sliger, Jr.			
		6,287,466	09/11/2001	Yassin			
		6,342,841	01/29/2002	Stingl			
		6,358,425	03/19/2002	King			
		6,391,167	05/21/2002	Grannersberger			
		6,419,840	07/16/2002	Meincke			
		6,497,822	12/24/2002	Blanchette et al.			
PV		6,511,605	01/28/2003	Connelly, Jr.			

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							YES	NO

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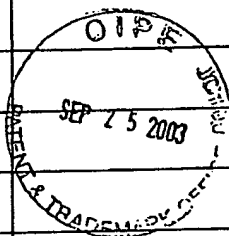
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PJ		6,517,727	02/11/2003	Pickens et al.			
		6,523,192	02/25/2003	Gloodt			
		6,544,415	04/08/2003	King			
PJ		6,562,242	05/13/2003	King et al.			



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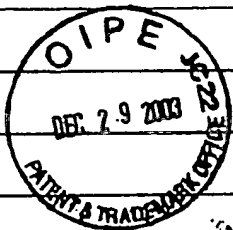
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						YES	NO

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Patricia J. Smith

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8-31-03

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